



**OASIS CRESCENT ENDOWMENT POLICY**

Company Reg. No. 2010/005698/06

**CHANGE IN DETAILS**

1. The Terms and Conditions (Policy Document) that apply to this product, must be read in conjunction with this form and is available on [www.oasiscrescent.com](http://www.oasiscrescent.com).
2. Kindly complete all fields in the form, using BLOCK CAPITALS.
3. This completed form, and any supporting documentation, should be submitted to Oasis as per the contact details above.
4. We will process this application once all duly completed documentation.

Policy Number

Date:

**POLICYHOLDER DETAILS**

Title:  Initials:

Full name of Account Holder:

Residential Address:

Telephone Number:   Cell phone:

Fax :   Email:

**NEW POLICYHOLDER DETAILS**

Changes to Residential address require a copy of a utility bill not older than 3 months.(rates and taxes, telephone account) that reflects your name and residential adress. Changes of name must be supported with a certified copy of your identity document as well as certified copies of the documents effecting the change of name (eg. Marriage certificate). Income Tax changes must be supported by certified copies of the relevant Tax documents.

Title:  Identity No/ Passport:

Marital Status: Married  Single  Divorced  Widowed

In community of property  Antenuptual contract  Antenuptual contract, without accrual  Married according to customary law

First Name(s):

Surname:

Gender:  M  F Date of Birth:

Occupation:

Minor  Y  N Guardian Name:

Guardian Relationship:

Postal Address:

Postal Code:

Telephone Number:   Cell phone:

Fax :   Email:

Residential Address:

Postal Code:

Preferred address for communication: Postal  Residential  Statements by: Postal  Residential

Income Tax No:  Tax Office:



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BANK DETAILS

Account type: CURRENT  SAVINGS  TRANSMISSION

Name of bank   
Branch name  Account number   
Branch code  Name of account holder

DEBIT ORDER AMOUNT

Debit orders under R500 will incur an automatic annual escalation of 15%. This application form must be received 2 weeks prior to the first working day of the month on which the debit order will commence.

Debit Order Total Amount: R   
Optional annual increase: 10%  15%  20%  No optional increase   
Debit order date: 1st  7th  15th  25th

I hereby authorise the Administrator to deduct the amounts specified above from the bank account as per the Bank Details provided and any further amounts as may be agreed by me in this application form. I acknowledge and understand that the Administrator requires at least 30 days written notice of the termination of a debit order instruction. Provided that the Administrator acts within the scope of its authority to any applicable debit order instruction, I hereby hold harmless and indemnify the Administrator against any/all charges/expenses actually incurred by the Administrator relating to any payment transaction which is returned by my bank, and not given effect to. The Administrator will add any amount for which I am liable, under this indemnity, to any amount due to the Administrator or may cause the Administrator to deduct and pay over such amount to the Administrator from any payment due to me. My liability under this indemnity shall be limited to the amount in respect of any payment value and/or charges/expenses incurred by a transaction returned by my bank and not given effect to.

Signature of Investor

SPECIAL INSTRUCTIONS

FINANCIAL ADVISOR CHANGE AND DECLARATIONS

FROM FSP Name  Oasis Broker code   
Representative Name:  FSP No:   
TO FSP Name:  Oasis Broker code:   
Representative Name:  FSP No:

The IFA undertakes to ensure that when dealing with the Policyholder/ Administrator all requirements of the Administrator shall be adhered to and the IFA accepts that he has complied with such requirements in relation to this transaction. This includes the provision of documentation relating to the registration of the IFA, the authorisation of the IFA to advise on the selected products and documentation required pertaining to the respective products. The IFA confirm that the necessary Needs Analysis has been done and the selected product meets the financial objectives of the Policyholder and that a record of such advice has been undertaken and such records are maintained by the IFA. The IFA confirms that he/she has made the disclosures required in terms of the FAIS Act with the Administrator to the Policyholder; and that all fees that relate to this investment have been disclosed and explained to the Policyholder; and accepts and understands that the Policyholder may instruct the Administrator to cancel or amend such fees at any time. The IFA accepts that the latest instruction of the client will supersede previous instructions of the client. The IFA confirms that as an accountable institution in terms of Financial Intelligence Centre Act it has accordingly identified all the parties to this transaction and shall maintain all records relating thereto which records shall be updated upon any changes occurring. The IFA undertakes to keep the Policyholder informed of the process and status of this transaction.

Signature of Financial Advisor



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**POLICYHOLDER DECLARATIONS**

(Investor to specify the agreed to PERCENTAGE fee, excluding VAT)

The initial advice fees are payable to the IFA by the Administrator on behalf of the Policyholder. The Administrator will ensure that the initial advice fee is paid when the initial investment or transfer amount in respect of the Policy is received by the Administrator, and thereafter the annual advice fee will be paid by the way of realizing units from the investment portfolio of the Policyholder. I confirm that the Financial Advisor is my nominated IFA and agree that the following fee is payable.

Fee Type	Financial Advisor	Agreed Fee
Initial	Maximum 3% (excl VAT) deducted prior to each investment being made. Where ongoing fee is greater than 0.5% (excl VAT), then initial fee is limited to 1.5%.	
Ongoing	Maximum 1% per annum (excl VAT) of the investment account. Where the initial fee is more than 1.5% (excl VAT). The maximum ongoing fee is 0.5%.	

I hereby indemnify the Administrator for acting on instructions provided by phone, fax or email:

Y	N
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- I confirm that the Financial Advisor has been appointed by me.
- I warrant that the information contained herein is true, correct and complete;
- I have attained the age of majority in terms of the law applicable to me and that there are no legal restrictions preventing me from entering into this agreement without the consent of my parent/legal guardian;
- I have the necessary authority to sign this application in a principal capacity, or a representative capacity and do so within my power granted by my principal;
- I hereby permit the Administrator to conduct any investigation to verify that the information and documentation included in/with this application is correct, and in the case where such investigation results in conflicting information, that the Administrator is obliged to report the transaction as a suspicious transaction to the relevant authorities;
- I understand that it is my obligation to familiarise myself with, and accept the risks associated with this policy;
- I confirm that the information about the product, investment objective and risk factors have been provided and disclosed to me by my Financial Advisor and that any other additional information that I have required, has been provided;
- I have read, understood and agreed to the Terms and Conditions (Policy Document);
- I confirm that this application, in conjunction with the Terms and Conditions (Policy Document) constitutes the entire, and binding, agreement with the Administrator and myself; and can be amended from time to time on receipt and acceptance by the Administrator, of further instruction duly completed by the Policyholder;
- I hereby acknowledge that I have fully acquainted myself with and I have read, understood and accepted the fees, charges and expenses that are to be levied, in terms of this application;
- I hereby confirm that the details contained in this application, are those of my appointed Financial Advisor, and agreement has been reached for payment of the fees as set out in this application;
- I understand that the Administrator will only accept instructions, from a Financial Advisor or Third Party, if authorised by myself in writing;
- I confirm that the information pertaining to my account (including duplicate statements, valuations and other information that may be required from time to time) may be released, electronically or in hard copy, to the Financial Advisor appointed to this investment;
- I have not received advice from the Administrator or Insurer;
- I warrant that in respect of this investment I have not contravened any anti-money laundering legislation and regulations applicable to me;
- I permit the Administrator to pass on my information to a third party, for marketing and market research purposes;
- I permit the Administrator to exercise a vote in a ballot of a collective investment scheme;
- I permit the Administrator to exercise voting rights to gain control of a company;
- I hereby waive any claim, of whatsoever nature, I may have against the Administrator and/or the Insurer, in future, relating to or arising out of the investment/s described in this application form, save insofar as it arises from dishonesty, theft or gross negligence of the company's employees, agents of representatives.
- I confirm that I have received the Terms and Conditions and that I am bound to the latest version of the Terms and Conditions on the website www.oasiscrescent.com.

**FICA documents for all persons/signatories in this application form.**

Clear copy of bar coded identity document certified by a Commissioner of Oaths.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
Proof of residential address (utility bill, bank statement) not more than 3 months old.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
Copy of bank statement/cancelled cheques (for proof of bank account).	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
Proof of tax registration (front page of tax return or correspondence with SARS)	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		

**Check List**

Fully completed application form.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
FICA of principle investor / joint investor/ person assisting/ beneficiaries.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
Proof of deposit of any lump sum investments.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		
Power of attorney - if applicable.	<table border="1"><tr><td>Y</td><td>N</td></tr></table>	Y	N
Y	N		

Signature of Policyholder

Signature of Person Assisting

D	D	M	M	Y	Y	Y	Y
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Captured Name

Signature

D	D	M	M	Y	Y	Y	Y
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FOR OFFICIAL USE

Authorised Name

Signature

D	D	M	M	Y	Y	Y	Y
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