



RETIREMENT SOLUTIONS (PTY) LTD.

An authorised Retirement Fund Administrator

OASIS CRESCENT PRESERVATION PENSION & PROVIDENT FUND

Company Reg. No. 1997/002777/07
 Oasis Crescent Preservation Pension Fund FSCA Reg. No. 12/8/36993 & Oasis Crescent Preservation Provident Fund FSCA Reg. No. 12/8/36990

SWITCH INVESTMENT

1. The Terms and Conditions and Fund Rules that apply to this product, must be read in conjunction with this form and is available on www.oasiscrescent.com
2. Kindly complete all fields in the form, using BLOCK CAPITALS.
3. This completed form, and any supporting documentation, should be submitted to Oasis as per the contact details above.
4. We will process this application once all duly completed documentation.
5. All portfolios are subject to availability.

Member Number

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 Date:

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MEMBER DETAILS

Title:

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 Initials:

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Full name of Account Holder:

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INVESTMENT OPTIONS

This will be a 100% switch into the new selected portfolio. (Please tick the appropriate box.)

SWITCH FROM:	
High Equity Portfolio (New Moon)	<input type="checkbox"/>
Progressive Portfolio (Half Moon)	<input type="checkbox"/>
Stable Portfolio (Full Moon)	<input type="checkbox"/>
Original New Moon Portfolio	<input type="checkbox"/>
Income Portfolio	<input type="checkbox"/>

SWITCH TO:	
High Equity Portfolio (New Moon)	<input type="checkbox"/>
Progressive Portfolio (Half Moon)	<input type="checkbox"/>
Stable Portfolio (Full Moon)	<input type="checkbox"/>
Income Portfolio	<input type="checkbox"/>

MEMBER DECLARATIONS

(Investor to specify the agreed to PERCENTAGE fee, excluding VAT)

The initial advice fees are payable to the IFA by the Administrator on behalf of the Investor. The Administrator will ensure that the initial advice fee is paid when the initial investment or transfer amount in respect of the Investor is received by the Administrator, and thereafter the annual advice fee will be paid by the way of realizing units from the investment portfolio of the Investor. I confirm that the Financial Advisor is my nominated IFA and agree that the following fee is payable.

Fee Type	Financial Advisor	Agreed Fee
Initial	Maximum 3% deducted prior to each investment being made. Where ongoing fee is greater than 0.5% then initial fee is limited to 1.5%.	
Ongoing	Maximum 1% per annum of the investment account. Where the initial fee is more than 1.5% then the maximum ongoing fee is 0.5%.	

Initials _____

FINANCIAL ADVISORS DETAILS AND DECLARATIONS

FSP Name:

Oasis Broker code:

Representative Name:

FSP No:

The IFA undertakes to ensure that when dealing with the Administrator all requirements of the Administrator shall be adhered to and the IFA accepts that he/she has complied with such requirements in relation to this transaction. This includes the provision of documentation relating to the registration of the IFA, the authorisation of the IFA to advise on the selected products and documentation required pertaining to the respective products. The IFA confirm that the necessary Needs Analysis has been done and the selected product meets the financial objectives of the Investor and that a record of such advice has been undertaken and such records are maintained by the IFA. The IFA confirms that he/she has made the disclosures required in terms of the FAIS Act with the Administrator to the Investor; and that all fees that relate to this investment have been disclosed and explained to the Investor; and accepts and understands that the Investor may instruct the Administrator to cancel or amend such fees at any time. The IFA accepts that the latest instruction of the client will supersede previous instructions of the client. The IFA confirms that as an accountable institution in terms of Financial Intelligence Centre Act it has accordingly identified all the parties to this transaction and shall maintain all records relating thereto which records shall be updated upon any changes occurring. The IFA undertakes to keep the investor informed of the process and status of this transaction.

Signature of Financial Advisor

1. I confirm that the Financial Advisor has been appointed by me.
2. I warrant that the information contained herein is true, correct and complete;
3. I have attained the age of majority in terms of the law applicable to me and that there are no legal restrictions preventing me from entering into this agreement without the consent of my parent/legal guardian;
4. I have the necessary authority to sign this application in a principal capacity, or a representative capacity and do so within my power granted by my principal;
5. I understand, that the product being offered is a Shari'ah compliant product;
6. I understand that it is my obligation to familiarise myself with, and accept the risks associated with this investment;
7. I confirm that the information about the product, investment objective and risk factors have been provided and disclosed to me by my Financial Advisor and that any other additional information that I have required, has been provided;
8. I have read understood and agree to the Terms and Conditions and Fund Rules;
9. I confirm that this application, in conjunction with the Terms and Conditions constitutes the entire, and binding, agreement with the Administrator and myself; and can be amended from time to time on receipt and acceptance by the Administrator, of further instructions duly completed by the Investor and / or the authorized representative;
10. I hereby acknowledge that I have fully acquainted myself with and I have read, understood and accepted the fees, charges and expenses that are to be levied, in terms of this application;
11. I hereby confirm that the details contained in this application, are those of my appointed Financial Advisor, and agreement has been reached for payment of the fees as set out in this application;
12. I understand that the Administrator will only accept instructions, from a Financial Advisor or Third Party, if authorised by myself in writing;
13. I confirm that the information pertaining to my account (including duplicate statements, valuations and other information that may be required from time to time) may be released, electronically or in hard copy, to my appointed Financial Advisor;
14. I have not received advice from the Administrator;
15. I warrant that in respect of this investment I have not contravened any anti-money laundering legislation and regulations applicable to me;
16. I permit the Administrator to pass on my information to a third party, for marketing and market research purposes; Protection of Personal Information Act, 2013 ("POPI") – I confirm that I am aware that the Management Company and/or it's associated/partner companies are "responsible parties" as defined in POPI, and I hereby consent to my personal information being processed in compliance with POPI. (Further information on POPI compliance can be found on the website www.oasis Crescent.com.)
17. Protection of Personal Information Act, 2013 ("POPI") – I confirm that I am aware that the Management Company and/or it's associated/partner companies are "responsible parties" as defined in POPI, and I hereby consent to my personal information being processed in compliance with POPI. (Further information on POPI compliance can be found on the website www.oasis Crescent.com.)
18. I hereby waive any claim, of whatsoever nature, I may have against the Administrator, in future, relating to or arising out of the investment/ described in this application form, save insofar as it arises from dishonesty, theft or gross negligence of the company's employees, agents of representatives.
19. I confirm that I have received the Terms and Conditions and Fund Rules and that I am bound to the latest version of the Terms and Conditions on the website www.oasis Crescent.com.

I hereby indemnify the Administrator for acting on instructions provided by phone, fax or email.

Signature of Member

FOR OFFICIAL USE

Capturer Name

Signature

Authoriser Name

Signature